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ATTY DOCKET NO.: CCF-6387 SERIAL NO. N/A FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE (REV. 6-89) PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE APPLICANT(S): Isador H. Lieberman STATEMENT BY APPLICANT (Use several sheets if necessary) GROUP: N/A FILING DATE: Concurrently Herewith **UIS. PATENT DOCUMENTS FILING DATE IF EXAMINER** : **SUB CLASS APPROPRIATE** NAME DATE INITIAL **DOCUMENT NUMBER CLASS** 2 8 2 Mar. 30, 1999 8 8 Bray, Jr. 9 0 6 9 May 18, 1999 Rosenman -5 0 5 0 Jan. 4, 2000 6 0 2 Bagby 6 0 3 7 0 Mar. 14, 2000 Rosenman 1 7 3 0 1 0 Jun. 6, 2000 Picha et al. 5 Jun. 27, 2000 Michelson 0 8 0 1 Kuslich et al. 8 5 8 9 July 11, 2000 6 0 6 9 5 6 0 2 Aug. 15, 2000 Vaccaro 1 5 5 Aug. 22, 2000 Robioneck et al. 1 0 6 3 Sep. 5, 2000 6 3 8 Williams et al. 1 1 Sep. 12, 2000 Schmieding et al. 6 1 6 2 1 5 2 6 0 Sep. 19, 2000 Michelson 2 0 1 5 3 6 1 2 0 0 Sep. 19, 2000 Michelson 2 3 7 0 5 Sep. 26, 2000 Michelson 6 1 Oct. 3, 2000 McDonnell 6 2 6 6 8 8 1 2 6 6 8 9 Oct. 3, 2000 Brett 1 2 6 8 8 2 Mar. 27, 2001 Cohen 0 2 6 6 5 6 Oct. 2, 2001 Bolduc et al. 6 9 6 6 8 3 Oct. 22, 2002 0 Lieberman FOREIGN PATENT DOCUMENTS. TRANSLATION SUB **CLASS** DATE COUNTRY **DOCUMENT NUMBER CLASS** YES NO 8 **A1** 04 Oct. 1989 Germany **EP** 0 3 4 0 8 6 6 8 4 **A1** 13 July 1995 EP 0 3 1 Germany 5 FR 2 2 9 9 8 27 Aug. 1976 France 4 PCT 2 2 0 8 7 **A1** 28 Mar. 2002 WO 0 4 07 Feb. 1984 SU 0 2 9 **Soviet Union** OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) An article entitled "ANTERIOR VERTEBRAL BODY SCREW PULLOUT TESTING, A Comparison of Zielke, Kaneda, Universal Spine System, and Universal Spine System With Pullout-Resistant Nut", by Isador H. Lieberman, et al., Reprinted from SPINE, Vol. 23, No. 8, April 15, 1998, An excerpt from THE APPLICATION OF SHAPE MEMORY ALLOYS IN MEDICINE; Author: I. P. Lipscomb, 1996; Contents; Forward; Preface; Chapter 1 "Introduction to Shape Memory Alloys (SMAs)". An excerpt from THE APPLICATION OF SHAPE MEMORY ALLOYS IN MEDICINE; Author: 1. P. Lipscomb, 1996; Chapter 2 entitled "Characteristics of Shape Memory Alloys in Medical Applications". An excerpt from THE APPLICATION OF SHAPE MEMORY ALLOYS IN MEDICINE; Author: I. P. Lipscomb, 1996; Chapter 5 "Present and Future Orthopaedic Applications". DATE CONSIDERED **EXAMINER** EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next

communication to the patent applicants' attorney.

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